

# Vaccine Injury Compensation Trust Fund

20X8175

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# **Vaccine Injury Compensation Trust Fund**

**20X8175**

## **Noteworthy News**

1. There are no noteworthy news items for September 2007.

**Vaccine Injury Compensation Trust Fund**  
**20X8175**  
**Trial Balance - Final (Un-audited)**  
**August 31, 2007 Through September 30, 2007**

RUN DATE: 10/22/07  
 RUN TIME: 10:52:19

GENERAL LEDGER ACCOUNT	BEGINNING BALANCE	TOTAL DEBITS	TOTAL CREDITS	ENDING BALANCE
<b>ASSETS</b>				
1010 CASH	0.00	1,419,558,919.24	1,405,613,919.24	13,945,000.00
1340 ACCRUED INCOME RECEIVABLE	4,839,407.10	7,794,392.86	64,149.01	12,569,650.95
1610 PRINCIPAL ON INVESTMENTS	2,618,353,868.93	1,388,093,309.76	1,380,952,239.84	2,625,494,938.85
1611 DISCOUNT ON PURCHASE	(19,614,310.35)	7,748,139.59	6,443,390.52	(18,309,561.28)
1612 PREMIUM ON PURCHASE	42,672,418.77	0.00	0.00	42,672,418.77
1613 AMORTIZATION DISC/PREM	(21,155,821.59)	1,532,990.89	8,223,639.89	(27,846,470.59)
<b>TOTAL ASSETS</b>	<b>2,625,095,562.86</b>	<b>2,824,727,752.34</b>	<b>2,801,297,338.50</b>	<b>2,648,525,976.70</b>
<b>LIABILITIES</b>				
2150 LIABILITY FOR ALLOCATION	15,128,000.00	20,000,000.00	45,400,000.00	40,528,000.00
2155 EXPENDITURE TRANSFER PAY	5,825,360.93	0.00	0.00	5,825,360.93
<b>TOTAL LIABILITIES</b>	<b>20,953,360.93</b>	<b>20,000,000.00</b>	<b>45,400,000.00</b>	<b>46,353,360.93</b>
<b>TOTAL NET ASSETS</b>	<b>2,604,142,201.93</b>	<b>2,844,727,752.34</b>	<b>2,846,697,338.50</b>	<b>2,602,172,615.77</b>
<b>CAPITAL</b>				
3310 PRIOR UNDISTRIBUTED INC	2,388,485,358.04	0.00	0.00	2,388,485,358.04
<b>TOTAL CAPITAL</b>	<b>2,388,485,358.04</b>	<b>0.00</b>	<b>0.00</b>	<b>2,388,485,358.04</b>
<b>INCOME</b>				
5311 INTEREST ON INVESTMENTS	88,232,473.89	64,149.01	7,999,072.26	96,167,397.14
5800 EXCISE TAXES	206,816,250.00	0.00	34,438,000.00	241,254,250.00
5311 AMORTIZATION/ACCRETION	5,274,790.00	8,223,639.89	9,281,130.48	6,332,280.59
<b>TOTAL INCOME</b>	<b>300,323,513.89</b>	<b>8,287,788.90</b>	<b>51,718,202.74</b>	<b>343,753,927.73</b>
<b>EXPENSES</b>				
5760 US CLAIMS COURT EXPENSES	3,833,000.00	0.00	0.00	3,833,000.00
5760 US DEPT OF JUSTICE EXPENSES	6,269,670.00	0.00	0.00	6,269,670.00
5765 TRANSFER TO HEALTH RESOURCES	74,564,000.00	69,364,000.00	23,964,000.00	119,964,000.00
<b>TOTAL EXPENSES</b>	<b>84,666,670.00</b>	<b>69,364,000.00</b>	<b>23,964,000.00</b>	<b>130,066,670.00</b>
<b>TOTAL EQUITY</b>	<b>2,604,142,201.93</b>	<b>77,651,788.90</b>	<b>75,682,202.74</b>	<b>2,602,172,615.77</b>
<b>BALANCE</b>	<b>0.00</b>	<b>2,922,379,541.24</b>	<b>2,922,379,541.24</b>	<b>0.00</b>

**Vaccine Injury Compensation Trust Fund**  
**20X8175**  
**Balance Sheet - Final (Un-audited)**  
**September 30, 2007**

**ASSETS**

**Undisbursed Balances**

Funds Available for Investment	\$ <u>13,945,000.00</u>	\$ 13,945,000.00
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**Receivables**

Interest Receivable	\$ <u>12,569,650.95</u>	\$ 12,569,650.95
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**Investments**

1 Principal On Investments	\$ 2,625,494,938.85	
Discount on Purchase	(18,309,561.28)	
Premium on Purchase	42,672,418.77	
Amortization Discount	5,011,866.98	
Amortization Premium	<u>(32,858,337.57)</u>	
<b>Net Investments</b>		\$ <u>2,622,011,325.75</u>

<b>TOTAL ASSETS</b>		<b>\$ <u><u>2,648,525,976.70</u></u></b>
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**LIABILITIES & EQUITY**

**Liabilities**

Liability for Allocation	\$ 40,528,000.00	
Expenditure Transfer Pay	<u>5,825,360.93</u>	
		\$ 46,353,360.93

**Equity**

Beginning Balance	\$ 2,388,485,358.04	
Net Change	<u>\$ 213,687,257.73</u>	
<b>Total Equity</b>		\$ <u>2,602,172,615.77</u>

<b>TOTAL LIABILITIES &amp; EQUITY</b>		<b>\$ <u><u>2,648,525,976.70</u></u></b>
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1 Details about principal holdings and investment transaction data can be viewed at <http://www.treasurydirect.gov/govt/reports/fip/acctstmt/acctstmt.htm>

**Vaccine Injury Compensation Trust Fund  
20X8175  
Income Statement - Final (Un-audited)  
October 1, 2006 through September 30, 2007**

**RECEIPTS**

	<b>Current Month</b>	<b>Year-To-Date</b>
<b>Revenue</b>		
Excise Taxes	\$ 34,438,000.00	\$ 241,254,250.00
<b>Net Revenue</b>	<u>\$ 34,438,000.00</u>	<u>\$ 241,254,250.00</u>
<b>Investment Income</b>		
1 Interest on Investments	\$ 8,992,413.84	\$ 102,499,677.73
<b>Total Investment Income</b>	<u>\$ 8,992,413.84</u>	<u>\$ 102,499,677.73</u>
<b>Net Receipts</b>	<u>\$ 43,430,413.84</u>	<u>\$ 343,753,927.73</u>

**DISBURSEMENTS**

<b>Outlays</b>		
US Claims Court Expenses	\$ 0.00	\$ 3,833,000.00
US Dept of Justice Expenses	0.00	6,269,670.00
<b>Total Outlays</b>	<u>\$ 0.00</u>	<u>\$ 10,102,670.00</u>
<b>Nonexpenditure Transfers</b>		
Transfer to Health Resources	\$ 45,400,000.00	\$ 119,964,000.00
2 <b>Total NonExpenditures</b>	<u>\$ 45,400,000.00</u>	<u>\$ 119,964,000.00</u>
<b>Total Disbursements</b>	<u>\$ 45,400,000.00</u>	<u>\$ 130,066,670.00</u>
<b>NET INCREASE/(DECREASE)</b>	<u><u>\$ (1,969,586.16)</u></u>	<u><u>\$ 213,687,257.73</u></u>

**Footnotes**

1 Interest on Investments is reported on the accrual basis. Includes interest collected, premium paid, and accrued interest purchased.

Interest on Investments: Cash Basis	\$	7,952,818.99	\$	108,664,186.79
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2 Nonexpenditure transfers are reported on the accrual basis.

**Vaccine Injury Compensation Trust Fund  
20X8175  
Budget Reconciliation - Final (Un-audited)  
September 30, 2007**

<u>Security Number/ Account Number</u>	<u>TITLE</u>	<u>AMOUNT</u>	
5311	Interest on Investments (Cash)	108,664,186.79	
5800	Excise Taxes	241,254,250.00	
<b>4114</b>	<b>Appropriated Trust Fund Receipts</b>		<b>M <u><u>339,890,096.79</u></u></b>
<b>4114</b>	<b>Appropriated Trust Fund Receipts</b>		<b>D <u><u>10,028,340.00</u></u></b>
<b>4382</b>	<b>Temporary Reduction - New Budget Authority</b>		<b>D <u><u>(63,330.00)</u></u></b>
<b>4168</b>	<b>Allocations of Realized Authority Reclassified - Auth to be Transferred from Invested Balances - Temp Reduction</b>		<b>D <u><u>(36,000.00)</u></u></b>
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances</b>	<b>(40,528,000.00)</b>	
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances - Admin</b>		<b>D <u><u>0.00</u></u></b>
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances - Benefit Payments</b>		<b>M <u><u>(40,528,000.00)</u></u></b>
<b>4167</b>	<b>Allocations of Realized Authority - Transferred from Invested Balances - Admin</b>		<b>D <u><u>(3,964,000.00)</u></u></b>
<b>4167</b>	<b>Allocations of Realized Authority - Transferred from Invested Balances - Benefit Payments</b>		<b>M <u><u>(92,036,000.00)</u></u></b>
5760	US Claims Court Expense	(3,122,500.62)	
5760	US Dept of Justice Expense	(2,702,860.31)	
<b>4901</b>	<b>Delivered Orders - Obligations, Unpaid</b>		<b><u><u>(5,825,360.93)</u></u></b>
5760	US Claims Court Expense (Balance)	(398,569.08)	
5760	US Claims Court Expense (New)	(1,219,529.35)	
5760	US Dept of Justice Expense (New)	(8,888,793.31)	
5760	US Dept of Justice Expense (Balance)	(182,397.28)	
5760	GF Transfer - Program Mgmt (Balance)	0.00	
<b>4902</b>	<b>Delivered Orders - Obligated, Paid</b>		<b>D <u><u>(10,689,289.02)</u></u></b>
<b>4394</b>	<b>Receipts Unavailable for Obligation Upon Collection (Beg</b>	<b>2,354,787,589.85</b>	<b>M</b>
	Rescinded Amount from FY 2006	137,660.00	
5311	Interest on Investments (Cash)	108,664,186.79	
5800	Excise Taxes	241,254,250.00	
5765	Transfers to Health Resources	(120,000,000.00)	
5760	US Claims Court Expense	(3,833,000.00)	
5760	US Dept of Justice Expenses	(6,333,000.00)	
5760	GF Transfer - Program Mgmt	0.00	
<b>4394</b>	<b>Receipts Unavailable for Obligation Upon Collection (Ending)</b>		<b>M <u><u>(2,574,677,686.64)</u></u></b>
<b>4201</b>	<b>Total Actual Resources - Collected Beg/End Bal</b>		<b><u><u>2,377,901,229.80</u></u></b>
101000	Fund Balance with Treasury	13,945,000.00	
161000	Investments at Par	2,625,494,938.85	
161100	Less Discount @ Purchase	(18,309,561.28)	
215000	Liability for Allocation	(40,528,000.00)	
215500	Expenditure Payable	(5,825,360.93)	
	<b>Total Assets</b>		<b><u><u>2,574,777,016.64</u></u></b>
	<b>Edit Check (Net Assets = 4394 + 4168 + 4382)</b>		<b><u><u>(2,574,777,016.64)</u></u></b>
			<b><u><u>0.00</u></u></b>

Vaccine Injury Compensation Fund  
20X8175  
FACTSII Adjusted Trial Balance Report - Final (Un-audited)  
September 30, 2007

<u>SGL</u> <u>Account</u>	<u>SGL Account Name</u>	<u>B/E</u>	<u>M/D</u>	<u>B/N</u>	<u>Amount</u>	<u>Closing Entries</u>	<u>Post Closing Balance</u>
1010	Fund Balance with Treasury	E			13,945,000.00		13,945,000.00
1610	Investments in U.S. Treasury Securities Issued by the Bureau of the Public Debt	B			2,379,854,000.00		2,379,854,000.00
1610	Investments in U.S. Treasury Securities Issued by the Bureau of the Public Debt	E			2,625,494,938.85		2,625,494,938.85
1611	Discount on U.S. Treasury Securities Issued by the Bureau of the Public Debt	E			(18,309,561.28)		(18,309,561.28)
4114	Appropriated Trust Fund Receipts	E	M		339,890,096.79	(339,890,096.79)	0.00
4114	Appropriated Trust Fund Receipts	E	D		10,028,340.00	(10,028,340.00)	0.00
4166	Allocations of Realized Authority - To Be Transferred From Invested Balances - Admin	E	D		0.00		0.00
4166	Allocations of Realized Authority - To Be Transferred From Invested Balances - Benefit Payments	E	M		(40,528,000.00)		(40,528,000.00)
4166	Allocations of Realized Authority - To Be Transferred Transferred from Invested Balances - Admin	B	D		0.00		0.00
4166	Allocations of Realized Authority - To Be Transferred Transferred from Invested Balances - Benefit Payments	B	M		(16,564,000.00)	16,564,000.00	0.00
4167	Allocations of Realized Authority - Transferred from Invested Balances - Admin	E	D		(3,964,000.00)	3,964,000.00	0.00
4167	Allocations of Realized Authority - Transferred from Invested Balances - Benefit Payments	E	M		(92,036,000.00)	92,036,000.00	0.00
4168	Allocations of Realized Authority Reclassified - Auth to be Transferred from Invested Balances - Temp Reduction	E	D		(36,000.00)	36,000.00	0.00
4201	Total Actual Resources Collected - Beginning	B			2,377,901,229.80	(2,377,901,229.80)	0.00
4201	Total Actual Resources Collected - Ending	E			2,377,901,229.80	243,229,147.77	2,621,130,377.57
4382	Temporary Reduction - New Budget Authority	E	D		(63,330.00)	63,330.00	0.00
4384	Temporary Reduction Returned by Appropriation	B	D		(137,660.00)	137,660.00	0.00
4384	Temporary Reduction Returned by Appropriation	E	D		0.00	(99,330.00)	(99,330.00)
4394	Receipts Unavailable for Obligation Upon Collection (Beg)	B	M		(2,354,787,589.85)	2,354,787,589.85	0.00
4394	Receipts Unavailable for Obligation Upon Collection (Ending)	E	M		(2,574,677,686.64)	0.00	(2,574,677,686.64)
4901	Delivered Orders - Obligations, Unpaid	B			(6,411,979.95)	6,411,979.95	0.00
4901	Delivered Orders - Obligations, Unpaid	E			(5,825,360.93)		(5,825,360.93)
4902	Delivered Orders - Obligations, Paid	E	D	B	(580,966.36)	580,966.36	0.00
4902	Delivered Orders - Obligations, Paid	E	D	N	(10,108,322.66)	10,108,322.66	0.00
					<u>0.00</u>	<u>0.00</u>	<u>0.00</u>

B/E Beginning/Ending Balance  
M/D Mandatory/Discretionary  
B/N Balance/New

**Vaccine Injury Compensation Trust Fund  
20X8175  
Payable Information  
September 30, 2007**

**2150 Payable**

9/30/2006 Balance	(16,564,000.00)
10/06 Activity	0.00
	<u>(16,564,000.00)</u>
11/06 Activity	10,000,000.00
11/06 Adjustments	0.00
	<u>(6,564,000.00)</u>
12/06 Activity	7,000,000.00
12/06 Adjustments	0.00
	<u>436,000.00</u>
01/07 Activity	0.00
01/07 Adjustments	0.00
	<u>436,000.00</u>
02/07 Activity	10,000,000.00
02/07 Adjustments	(74,564,000.00)
	<u>(64,128,000.00)</u>
03/07 Activity	0.00
03/07 Adjustments	(64,128,000.00)
	<u>(64,128,000.00)</u>
04/07 Activity	6,000,000.00
04/07 Adjustments	(58,128,000.00)
	<u>(58,128,000.00)</u>
05/07 Activity	21,000,000.00
05/07 Adjustments	(37,128,000.00)
	<u>(37,128,000.00)</u>
06/07 Activity	8,000,000.00
06/07 Adjustments	(29,128,000.00)
	<u>(29,128,000.00)</u>
07/07 Activity	11,000,000.00
07/07 Adjustments	(18,128,000.00)
	<u>(18,128,000.00)</u>
08/07 Activity	3,000,000.00
08/07 Adjustments	(15,128,000.00)
	<u>(15,128,000.00)</u>
09/07 Activity	20,000,000.00
09/07 Adjustments	(45,400,000.00)
	<u>(40,528,000.00)</u>
Actual Transfers	<b>96,000,000.00</b>

**2155 Payable**

	US Dept. of Justice	US Claims Court
9/30/2006 Balance	(5,504,380.90)	(907,599.05)
10/06 Activity	0.00	0.00
	<u>(5,504,380.90)</u>	<u>(907,599.05)</u>
11/06 Activity	0.00	398,569.08
11/06 Adjustments	0.00	0.00
	<u>(5,504,380.90)</u>	<u>(509,029.97)</u>
12/06 Activity	0.00	0.00
12/06 Adjustments	0.00	0.00
	<u>(5,504,380.90)</u>	<u>(509,029.97)</u>
01/07 Activity	0.00	0.00
01/07 Adjustments	0.00	0.00
	<u>(5,504,380.90)</u>	<u>(509,029.97)</u>
02/07 Activity	3,706,723.98	0.00
02/07 Adjustments	(6,269,670.00)	(3,833,000.00)
	<u>(8,067,326.92)</u>	<u>(4,342,029.97)</u>
03/07 Activity	1,440,312.70	0.00
03/07 Adjustments	(6,627,014.22)	(4,342,029.97)
	<u>(6,627,014.22)</u>	<u>(4,342,029.97)</u>
04/07 Activity	0.00	0.00
04/07 Adjustments	(6,627,014.22)	(4,342,029.97)
	<u>(6,627,014.22)</u>	<u>(4,342,029.97)</u>
05/07 Activity	2,291,055.64	0.00
05/07 Adjustments	(4,335,958.58)	(4,342,029.97)
	<u>(4,335,958.58)</u>	<u>(4,342,029.97)</u>
06/07 Activity	0.00	0.00
06/07 Adjustments	(4,335,958.58)	(4,342,029.97)
	<u>(4,335,958.58)</u>	<u>(4,342,029.97)</u>
07/07 Activity	72,757.91	0.00
07/07 Adjustments	(4,263,200.67)	(4,342,029.97)
	<u>(4,263,200.67)</u>	<u>(4,342,029.97)</u>
08/07 Activity	1,560,340.36	1,219,529.35
08/07 Adjustments	0.00	0.00
	<u>(2,702,860.31)</u>	<u>(3,122,500.62)</u>
09/07 Activity	0.00	0.00
09/07 Adjustments	(2,702,860.31)	(3,122,500.62)
	<u>(2,702,860.31)</u>	<u>(3,122,500.62)</u>
Actual Transfers	<b>9,071,190.59</b>	<b>1,618,098.43</b>